ase type a plus sign (+) inside this box → ☐ +

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/037,067
Filing Date	12/21/2001
First Named Inventor	David E. Clune
Group Art Unit	2171
Examiner Name	Unassigned

Total Number of Pages in This Submission	13

Attorney Docket Number	Clune 3-4-18

ENCLOSURES *(check all that apply)*

[X] Fee Transmittal Form	☐ Assignment Papers *(for an Application)*	☐ After Allowance Communication to Group
☐ Fee Attached	[X] Drawing(s)	☐ Appeal Communication to Board of Appeals and Interferences
☐ Amendment / Response	☐ Licensing-related Papers	☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
☐ After Final	☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition	
☐ Affidavits/declaration(s)	☐ Petition to Convert to a Provisional Application	☐ Proprietary Information
☐ Extension of Time Request	☐ Power of Attorney, Revocation Change of Correspondence Address	☐ Status Letter
		[X] Additional Enclosure(s) *(please identify below)*:
☐ Express Abandonment Request	☐ Terminal Disclaimer	Post Card
☐ Information Disclosure Statement	☐ Small Entity Statement	
☐ Certified Copy of Priority Document(s)	☐ Request for Refund	
☐ Response to Missing Parts/ Incomplete Application	Remarks	
[X] Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John L. DeAngelis, Jr., Esquire/Reg. No. 30,622 Holland & Knight, LLP
Signature	
Date	March 28, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 03/28/2002

Typed or printed name	Pamela A. D'Alessio		
Signature	Pamela A. D'Alessio	Date	March 28, 2002

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT | ($) 130.00

Complete if Known	
Application Number	10/037,067
Filing Date	12/21/2001
First Named Inventor	David E. Clune
Examiner Name	Unassigned
Group Art Unit	2171
Attorney Docket No.	Clune 3-4-18

METHOD OF PAYMENT

1. ☑ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **50-1790**

Deposit Account Name: **Holland & Knight LLP**

☑ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**
☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) ($)

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below	Fee Paid
Total Claims	-20** =	X	=	
Independent Claims	- 3** =	X	=	
Multiple Dependent		=		

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) ($)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** ($) 130.00

SUBMITTED BY			Complete *(if applicable)*	
Name (Print/Type)	John L. DeAngelis, Jr.	Registration No. (Attorney/Agent)	30,622	Telephone (321) 674-1778
Signature				Date 03/28/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
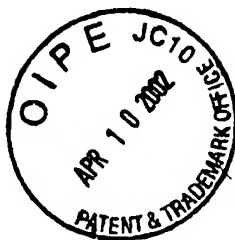
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,067	12/21/2001	David E. Clune	Kirk 11-5-4-18

John L. DeAngelis, Jr., Esquire
Holland & Knight LLP
Suite 201
1499 S. Harbor City Blvd.
Melbourne, FL 32901

CONFIRMATION NO. 5463
FORMALITIES LETTER

OC000000007442463

Date Mailed: 02/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
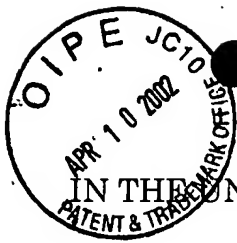
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 130.**

*A copy of this notice **MUST** be returned with the reply.*

H. Truong
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10037067
00000120 501790
130.00 CH
04/12/2002 HNDORL
01 FC:105

Applicant: **David E. Clune, et al**   Group Art Unit: **2171**

Serial No.: **10/037,067**   Examiner: **Not Assigned**

Filed: **12/21/2001**

For: **Method and Apparatus for Maintaining Multicast Lists in a
        Data Network**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

March 28, 2002

Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
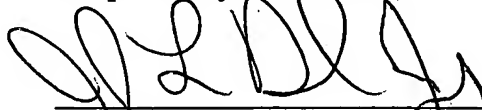Washington, D.C. 20231

Sir:

In accord with 37 CFR 1.53(b), attached hereto is the Declaration and Power of Attorney for the above-identified patent application. Please note that the executed Declaration enclosed herewith does not include the name of James T. Kirk, who was indicated as an inventor on the unsigned Declaration filed with the patent application. The Applicant hereby invokes the provisions of 37 CFR 1.48(f)(1), noting that the correct inventorship was not set forth when the application was filed without an executed declaration. The correct inventorship is set forth on the executed Declaration and Power of Attorney attached.

Also attached, in accord with 37 CFR 1.53(b) and 37 CFR 1.184, are the substitute drawings for the above-identified patent application.

Also attached is Part 2 of the Patent and Trademark Office's Notice to File Missing Parts of Nonprovisional Application.

Please charge the $130.00 fee due in connection with the filing of this paper to Deposit Account No. 50-1790. If there are any questions regarding this matter, please direct them to the undersigned.

Respectfully submitted,

John L. DeAngelis, Jr., Esquire
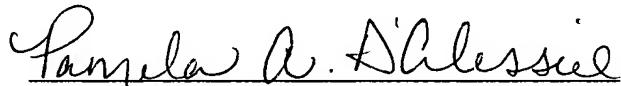Reg. No. 30,622
Holland & Knight LLP
1499 S. Harbor City Blvd., Suite 201
Melbourne, FL 32901
(321) 951-1776

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Response to Notice to File Missing Parts of Application is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on this _28_ day of March, 2002.

Pamela A. D'Alessio